

Appendix A: 2021-22 Revenue Forecast Outturn by Directorate

	<b>Appendix A - 2021-22 Provisional Outturn position by Directorate</b>	<b>Period 9 £000</b>	<b>Provisional Outturn 2021- 22 £000</b>	<b>Movement from Period 9 £000</b>
<b>Corporate Services</b>	<b>Savings Delivery Pressure (£1,340k savings target):</b>			
	Shortfall on service aggregation savings	128	128	0
		<b>128</b>	<b>128</b>	<b>0</b>
	<b>In-Year Budget Variations – Overspends:</b>			
	ZBB budget pressures on staffing budgets due to non achievable staff capital recharge & historical income targets	200	447	247
	Additional in year IT contract costs as a result of price & volume increases & additional Business System costs due to Unitary.	0	453	453
	In year pressure due to redundancy costs	0	81	81
		<b>200</b>	<b>981</b>	<b>781</b>
	<b>Covid-19 pressures</b>			
	Additional Mobile phone and data usage due to remote working	0	529	529
	Cost of additional mortuary capacity and Coroners service.	90	90	0
	Additional capacity to clear the backlog of Registration case load.	57	57	0
	Covid-19 compliant Council meetings	37	37	0
	Additional HR Business Partner costs	32	32	0
		<b>216</b>	<b>745</b>	<b>529</b>
	<b>In-Year Budget Variations – Underspends:</b>			
	Customer Services underspend due to vacancies	0	(354)	(354)
Underspend within HR Services of £146k. within L & D , which is hosted by NNC, plus a dividend received from Opus, and payroll underspend of £134k.	0	(281)	(281)	
Underspends from legacy transactions	0	(118)	(118)	
Underspend within Legal & Democratic Services; Underspends of £0.17m due to vacancies , additional income in Registrars & Information Governance, offset by a £0.09m pressure in legal due to additional costs relating to governance review.	0	(75)	(75)	
	<b>0</b>	<b>(828)</b>	<b>(828)</b>	
Approved carry forwards and new reserve requests	0	480	480	
<b>Management Actions:</b>				
Contingency reserve & former LGSS reserve to fund budget shortfalls in DTI	0	(602)	(602)	
Assumed use of Non ring-fenced Covid-19 grant	(216)	(745)	(529)	
Transformation budget underspend	0	(116)	(116)	
	<b>(216)</b>	<b>(1,463)</b>	<b>(1,247)</b>	
<b>Net Position – Corporate Services</b>	<b>328</b>	<b>43</b>	<b>(285)</b>	
<b>Chief Executive Services</b>	<b>Savings Delivery Pressure (£982k savings target):</b>			
	Shortfall on service aggregation savings	219	752	533
		<b>219</b>	<b>752</b>	<b>533</b>
	<b>In-Year Budget Variations – Overspends:</b>			
	Shortfall on subscriptions budget	33	56	23
	Other minor variances	30	65	35
		<b>63</b>	<b>121</b>	<b>58</b>
	<b>Covid-19 pressures</b>			
	No variances to report	0	0	0
		<b>0</b>	<b>0</b>	<b>0</b>
	<b>In Year Budget Variations – Underspends:</b>			
	Underspend on staffing following service restructure	(152)	(522)	(370)
	Underspend on printing, advertising and stationary	0	(152)	(152)
Consultancy & Professional fees	0	(20)	(20)	
Computer software & licenses	0	(27)	(27)	
Staff travel	0	(10)	(10)	
Other minor underspends	(21)	(52)	(31)	
	<b>(173)</b>	<b>(783)</b>	<b>(610)</b>	
<b>Management Actions:</b>				
Assumed use of Non ring-fenced Covid-19 grant	0	0	0	
	<b>0</b>	<b>0</b>	<b>0</b>	
<b>Net Position – Chief Executive Services</b>	<b>109</b>	<b>90</b>	<b>(19)</b>	
<b>Children's Including Trust</b>	<b>Savings Delivery Pressure (£1,879k savings target):</b>			
	No variances to report	0	0	0
		<b>0</b>	<b>0</b>	<b>0</b>
	<b>In-Year Budget Variations – Overspends:</b>			
Income shortfall in Education Psychology due to focus on completing statutory work	344	350	6	
	<b>344</b>	<b>350</b>	<b>6</b>	
<b>Covid-19 pressures</b>				

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	Additional capacity in Education and Healthcare (EHC) statutory team to manage increasing caseloads to improve timeliness of assessments	142	113	(29)
	Provisional NCT covid pressures predominantly linked to managed teams and agency staff covering vacancies, and demand pressures on care costs (WNC share)	606	628	22
	<b>In Year Budget Variations – Underspends:</b>	<b>748</b>	<b>741</b>	<b>(7)</b>
	Aggregated Directorate staffing variances	(510)	(697)	(187)
	Other minor underspends (non-pay budgets)	(161)	(64)	97
	One off ringfenced grant	(110)	(66)	44
	<b>Management Actions:</b>	<b>(781)</b>	<b>(827)</b>	<b>(46)</b>
	Assumed use of COMF		(427)	(427)
	Assumed use of Non ring-fenced Covid-19 grant	(748)	(314)	434
	<b>Net Position – Children's</b>	<b>(748)</b>	<b>(741)</b>	<b>7</b>
		<b>(437)</b>	<b>(477)</b>	<b>(40)</b>

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<b>Adults, Communities &amp; Wellbeing</b>	<b>Savings Delivery Pressure (£5,575k savings target):</b>			
	Housing and Communities service aggregation pressures – the transformation changes are planned for later in the financial year so are unlikely to be delivered this year	412	412	0
	18-001-16 Specialist centre for – Step down Care Mental Health and Acquired brain injury	145	145	0
	19-001-08 Rapid response falls & admission avoidance service - Slippage of saving	1,220	1,356	136
		<b>1,777</b>	<b>1,913</b>	<b>136</b>
	<b>In-Year Budget Variations – Overspends:</b>			
	Adult Social Care - ZBB review - Structural budget pressure across services	121	121	0
	Adult Social Care Independent care budget pressure driven by cost pressures in the market	2,550	3,418	868
	Adult Social Care - Legal cost pressure and void charges	0	307	307
	Adult Social Care - Social care Transport pressures	230	349	118
	Adult Social Care - Forecast pressures within Provider services	367	384	17
	Housing & Communities - ZBB Review - Disaggregation of Libraries service	84	0	(84)
	Housing & Communities - other Minor variances	14	0	(14)
	Housing & Communities - Management of Travellers Site	0	150	150
		<b>3,366</b>	<b>4,728</b>	<b>1,362</b>
	<b>Covid-19 pressures</b>			
	Housing & Communities - Libraries Income pressure due to Covid-19	80	56	(24)
	Housing & Communities - Private Sector Housing Civil Penalty Income due to Covid-19	248	240	(8)
	Housing & Communities - Leisure Centre Support Payments & Loss of Income due to Covid-19	623	635	12
	Housing & Communities - Community Funding Approach for organisations and groups who need support to recover from the impact of Covid	233	104	(129)
	Adult Social Care - Learning Disability Independent care budget pressure due to Covid-19	2,121	3,155	1,034
	Adult Social Care - Rapid response falls & admission avoidance service 2020/21 brought forward	958	958	0
	Adult Social Care - Mental Health independent care budget pressures due to Covid -19	632	935	303
	Adult social Care - Homecare non delivery of care due to Covid-19	0	314	314
	Adult Social Care - Specialist Centre (Moray Lodge) care provider support	99	93	(6)
	Adult Social Care - Saxon court provider support	63	63	0
	Adult Social Care - Recruitment coordinator	26	15	(11)
Adult Social Care - Safeguarding Adults Board Business Office Additional Support	20	11	(9)	
	<b>5,103</b>	<b>6,580</b>	<b>1,477</b>	
<b>In Year Budget Variations – Underspends:</b>				
Housing and Communities –one off underspends across services identified to mitigate service aggregation pressure	(412)	(344)	68	
Adult Social Care - underspend due to in year vacancies across care teams	(981)	(1,739)	(758)	
Adult Social Care - Provider services underspends	0	(706)	(706)	
Adult Social Care - COMF funded base budget expenditure	0	0	0	
Adult Social Care - in House provider Client Contributions	0	0	0	
Adult Social Care - Independent care client contributions	0	(325)	(325)	
Adult Social care - other minor variances	(56)	0	56	
	<b>(1,449)</b>	<b>(3,115)</b>	<b>(1,666)</b>	
Approved carry forwards and new reserve requests	0	40	40	
<b>Management Actions:</b>				
Use of Non ring-fenced Covid-19 grant	(6,468)	(8,081)	(1,613)	
	<b>(6,468)</b>	<b>(8,081)</b>	<b>(1,613)</b>	
<b>Net Position – Adults</b>	<b>2,329</b>	<b>2,065</b>	<b>(264)</b>	
<b>Place, Economy and Environment</b>	<b>Savings Delivery Pressure (£2,950k savings target):</b>			
	Service aggregation saving of £0.886m is not achievable in year, as the transformation work is still on-going. Additionally, £0.099m relating to the tier 1-3 senior management staffing is only partially achievable, as one post was not included in the base budget.	985	985	0
	<b>In year variations - overspend:</b>	<b>985</b>	<b>985</b>	<b>0</b>

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Regulatory Services - structural budget pressures - predominantly staffing	95	0	(95)
Highways & Waste - Home to School Transport - due to a rise on the costs of SEN travel	432	626	194
Highways & Waste - Domestic Waste including Refuse & Recycling - due to a rise in tonnages and increased fuel costs and staffing pressures.	0	26	26
Assets & Environment - structural budget pressures - mainly on schools PFI, and staffing	433	0	(433)
Growth, Climate & Regen - structural budget pressures - staffing	7	0	(7)
<b>967</b>	<b>967</b>	<b>652</b>	<b>(315)</b>
<b>Covid-19 pressures</b>			
Regulatory Services - COVID-19 income pressure	64	46	(18)
Regulatory Services - COVID-19 expenditure pressure - staffing costs	59	0	(59)
Highways & Waste - COVID-19 pressures - increased from last month due to reduction in expected pay & display income, and also on Home to School Transport	1,406	1,730	324
Growth, Climate & Regen - COVID-19 income pressure in the Museums service	50	0	(50)
Assets & Environment - COVID-19 pressures - including loss of income from car parks, estates management, catering, and others, and additional costs including extra cleaning costs, and costs of improving car parking payment systems. Assuming Sales, Fees & Charges grant will only cover first quarter of year.	2,950	1,841	(1,109)
<b>4,529</b>	<b>4,529</b>	<b>3,617</b>	<b>(912)</b>
<b>In year variations - underspend:</b>			
Growth, Climate & Regen - Development Services - mainly due to an over achievement of fees associated with a few large one-off development schemes -£1,168k has been requested to be transferred to reserves.	(1,217)	(1,628)	(411)
Growth, Climate & Regen - Economic Development - due to a combination of staffing vacancies, and grant income	(313)	(408)	(95)
Growth, Climate & Regen - Planning Policy - due to staffing underspends for vacant posts - £103k has been requested to be transferred to reserves.	(261)	(515)	(254)
Growth, Climate & Regen - Regeneration - due to staffing underspends for vacant posts	0	(64)	(64)
Growth, Climate & Regen - other smaller variances - £122k has been requested to be transferred to reserves.	(209)	(393)	(184)
Highways & Waste - structural budget pressures - reduced cost of embedded lease	(78)	0	78
Highways & Waste - Household Waste Recycling Centres - mainly due to income being higher than expected in this area	(390)	(467)	(77)
Highways & Waste - Asset, Traffic Management & Regulations area - mainly due to an over-achievement of income	(395)	(490)	(95)
Highways & Waste - Parking & Bus Lane Enforcement - due to a reduction in costs associated with parking enforcement, and an overachievement of income for bus lane enforcement	(410)	(564)	(154)
Highways & Waste - other smaller variances - £144k has been requested to be carried forward.	(153)	(154)	(1)
Regulatory Services - Emergency Planning [NCC] - £133k of the underspend requested to be transferred to reserves	0	(479)	(479)
Regulatory Services - Trading Standards [NCC] - This is after £93k of Proceeds of Crime income has been moved to reserves. Also staffing underspend.	0	(64)	(64)
Regulatory Services - Environmental health [NBC] - underspend on staffing and professional fees	0	(58)	(58)
Regulatory Services - Licensing	0	(90)	(90)
Regulatory Services - various other small underspends	(75)	(203)	(128)
Assets & Environment - Facilities & Property Management - due to increased rental income, reduced staff costs and various cost reductions on running costs and repairs.	(273)	(795)	(522)
Exec Director - small underspends	0	(14)	(14)
Assets & Environment - Archive & Heritage Service - staffing underspend and unspent grant - has been requested to be carried forward as a reserve	0	(348)	(348)
Assets & Environment - Property Disposal Costs - has been requested to be carried forward	0	(180)	(180)
Assets & Environment - Northampton Schools PFI - underspend due lower costs for repairs and maintenance and also contract performance deductions	0	(412)	(412)
Assets & Environment - Reactive Maintenance - due to continued work-from-home arrangements - £111k has been requested to be carried forward.	(159)	(185)	(26)
Assets & Environment - Strategic Assets - due to reduced premises running costs - £250k has been requested to be carried forward	(106)	(285)	(179)
Assets & Environment - other smaller variances	(169)	(132)	37
<b>(4,208)</b>	<b>(4,208)</b>	<b>(7,928)</b>	<b>(3,720)</b>
Approved carry forwards and new reserve requests	0	1,684	1,684
<b>Management Actions:</b>			
Assumed use of Non ring-fenced Covid-19 grant	(4,529)	(3,617)	912

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		(4,529)	(3,617)	912
	<b>Net Position – Place</b>	<b>(2,256)</b>	<b>(4,607)</b>	<b>(2,351)</b>
<b>Finance Directorate</b>	<b>Savings Delivery Pressure (£409k savings target):</b> No variances to report	0	0	0
		<b>0</b>	<b>0</b>	<b>0</b>
	<b>In year variations - overspend:</b> Pressures in Revenues and Benefits relating to subsidy	0	242	242
	ZBB budget pressures due to unachievable historic income target and new license costs in Procurement	52	52	0
		<b>52</b>	<b>294</b>	<b>242</b>
	<b>Covid-19 pressures</b> Debt Recovery - funding for additional staff required to deal with arrears	462	10	(452)
		<b>462</b>	<b>10</b>	<b>(452)</b>
	<b>In year variations - underspend:</b> One off income from additional Highways procurement work	(50)	(50)	0
	Delays in purchasing new systems, restructuring, adding a new remit of resource and general vacancies within Procurement.	0	(197)	(197)
	Net underspend from shared services , Finance Operations & Customer Engagement, Audit	0	(45)	(45)
	Staffing vacancies & general underspends within Finance	(141)	(456)	(315)
		<b>(191)</b>	<b>(748)</b>	<b>(557)</b>
	Approved carry forwards and new reserve requests	0	204	204
	<b>Management mitigation:</b> Assumed use of Non ring-fenced Covid-19 grant	(462)	(10)	452
		<b>(462)</b>	<b>(10)</b>	<b>452</b>
	<b>Net Position – Finance Directorate</b>	<b>(139)</b>	<b>(250)</b>	<b>(111)</b>
	<b>Cost of services</b>	<b>(66)</b>	<b>(3,136)</b>	<b>(3,070)</b>
<b>Technical /Centrally Held Budgets</b>	<b>Savings Delivery Pressure (£230k savings target):</b> No variances to report	0	0	0
		<b>0</b>	<b>0</b>	<b>0</b>
	<b>In year variations - overspend:</b> Treasury Budget movements - including pressure on interest income and capitalisation of interest , early loan resettlement costs that were not reflected in 20/21 budgets and a number of smaller budget pressures .	1,600	1,570	(30)
	2021-22 pay award to be funded from general contingency - alternative funding identified .	620	620	0
	Closure of accounts - additional external audit costs in relation to legacy council accounts (£727k) , and additional capacity requirements ( £18k) less NNC contribution to County Council costs (£383k)	658	362	(296)
	Increase in required contribution to Aged Debt provision.	0	447	447
	Other Minor overspends	66	27	(39)
		<b>2,944</b>	<b>3,026</b>	<b>82</b>
	<b>Covid-19 pressures</b> Budget pressure identified following consolidation of legacy council technical finance budgets	0	0	0
		<b>0</b>	<b>0</b>	<b>0</b>
	<b>Management Actions:</b> Assumed use of Non ring-fenced Covid-19 grant	0	0	0
		<b>0</b>	<b>0</b>	<b>0</b>
		0	0	0
	<b>Net Position – Technical/ Centrally held budgets</b>	<b>2,944</b>	<b>3,026</b>	<b>82</b>
<b>Total WNC:</b>		<b>2,878</b>	<b>(110)</b>	<b>(2,988)</b>